

Atty. Docket No.:

20563/1014

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Rabiner et al.

Serial No.:

10/665,445

Filed: Entitled:

September 19, 2003

Apparatus and Method of Removing

Occlusions Using an Ultrasonic Medical Device Operating in a

Transverse Mode

Examiner:

Not Yet Assigned

Group Art Unit:

3731

Conf. No.:

7310

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

David J. Dykeman

Name of Person Mailing Paper

Signature of Person Mailing Paper

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§§ 1.56, 1.97 AND 1.98

Dear Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56, Applicant submits this Information Disclosure Statement pursuant to 37 CFR §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office.

A listing of the cited documents, as well as, for the Examiner's convenience, copies of all foreign patent documents and non-patent literature are enclosed. This application was filed after June 30, 2003, and copies of U.S. patents and U.S. patent applications are not required and are not enclosed.

Pursuant to CFR § 1.97(e)(1), each item contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application or International application. Because this statement is being filed within three months of receipt of the Search Report, no fee is believed to be due. A copy of the Search Report is included and cited on the enclosed PTO-1449.

Applicant does not intend to represent that any of the documents submitted herein are material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Serial No.: 10/665,445 Docket No.: 20563/1014

Page 2

Applicant respectfully requests that the documents submitted herein be considered and made of record in this application.

Date: December 31, 2003

Name: David J. Dykeman

Respectfully submitted,

Registration No.: 46,678 Customer No.: 29934 Palmer & Dodge LLP 111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100

U.S. Department of Commerce Attorney Docket No. Serial No. Patent and Trademark Office 10/665,445 20563/1014 INFORMATION DISCLOSURE STATEMENT Applicant(s): Rabiner et al. Filing Date: September 19, 2003 Group: 3731 U.S. PATENT DOCUMENTS Examiner Patent No. Date Name Class Subclass Filing Date Initial (if appropriate) 5,971,949 October 26, 1999 Levin et al. 604 22 May 19, 1997 FOREIGN PATENT DOCUMENTS Document No. Examiner Publication Class Subclass Translation Country Initial Date YES NO OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.) International Search Report based on PCT/US03/09163 dated October 8, 2003 Α DATE CONSIDERED **EXAMINER** *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. **Copies of references not provided at the time of this submission.



Atty. Docket No.:

20563/1014

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Rabiner et al.

Serial No.:

10/665,445

Filed:

September 19, 2003

Entitled:

Apparatus and Method of Removing

Occlusions Using an Ultrasonic Medical Device Operating in a

Transverse Mode

Examiner:

Not Yet Assigned

Group Art Unit:

3731

Conf. No.:

7310

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

David J. Dykeman

Marie of Person Mailing Paper

Signature of Person Mailing Paper

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Enclosed for filing in the above-identified patent application, please find the following documents:

- 1. Information Disclosure Statement;
- 2. Form PTO-1449 listing 2 references;
- 3. Copy of 1 Non-U.S. Patent reference; and
- 4. Return Post Card.

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 20563/1014. A duplicate of this transmittal letter is enclosed for this purpose.

Date:

December 31, 2003

Respectfully submitted,

Name: David J. Dykeman Registration No.: 46,678 Customer No.: 29934

Palmer & Dodge LLP 111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100